Atty. Docket No. 728-221 (YOR9-2001-0603 US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GROUP ART UNIT

Unassigned

EXAMINER

Unassigned

APPLICANT(S)

LOUIS L. HSU and LI-KONG WANG

SERIAL NO.

Unassigned

FILED

January 3, 2002

FOR

HYBRID SUBSTRATE AND METHOD

FOR FABRICATING THE SAME

Dated: January 3, 2002

BOX PATENT APPLICATION Assistant Commissioner for Patents

Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to Applicants' duty of disclosure, the information listed in the attached form PTO-1449 is brought to the attention of the Examiner. A copy of the listed item is enclosed.

CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that the New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date <u>January 3, 2002</u> in an envelope as "Express Mail Post Office to Addressee" Mail Label Number <u>EL 913582613 US</u> addressed to: BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

George Likourezos

(Type or print name of person mailing paper)

(Signature of person mailing paper)

The citation of the listed item is not a representation that it constitutes a complete or exhaustive listing of the relevant art or that the reference is prior art.

The item listed is submitted in good faith, but is not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of this particular item, it will assist in identifying fields of search and in making as full and complete a search as possible.

The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

- [X] This information disclosure statement is being filed within three (3) months of the filing date of this application.
- [] This information disclosure statement is being filed within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application.
- [X] To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action on the merits in connection with this case.

- [] Enclosed herewith is a certificate under 37 C.F.R. §1.97(e).
- [] Enclosed herewith is a petition under 37 C.F.R. §1.97(d)(ii).
 - [] Enclosed by check is the petition fee of \$130.00. 37 C.F.R. §1.17(i)(1))
 - [] Please charge the \$130.00 petition fee to Deposit Account No. 04-1121.
- [] Enclosed by check is the \$180.00 fee required by 37 C.F.R. §1.17(p).
- [] Please charge the \$180.00 fee required by 37 C.F.R. §1.17(p) to Deposit Account No. 04-1121.
- [X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §1.16 and/or §1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account <u>04-1121</u>. Also, in the event any extensions of time for responding and/or a petition under 37 C.F.R. §1.17(i)(i) are required for the pending application(s), please treat this paper as a petition to extend the time and/or enter this Information Disclosure Statement as required and charge Deposit Account No. <u>04-1121</u> therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

The claims of the application as now presented are believed to patentably distinguish over the prior art and to be in condition for allowance. Early and favorable consideration of the case is respectfully requested.

Respectfully submitted,

DILWORTH & BARRESE

By:

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